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Christine M. Colbert

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Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Keiya Ozawa et al.

Art Unit:

1634

Serial No.:

09/905,591

Examiner:

B. Sisson

Filed:

July 13, 2001

Customer No.:

21559

Title:

GENE THAT IMPARTS SELECTIVE PROLIFERATIVE ACTIVITY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

<u>SUPPLEMENTAL</u> INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached form PTO-1449, copies of which are enclosed.

Submission of this statement is not a representation that a search has been made, nor is information included in this statement an admission that the information is material to patentability.

This statement is being filed after a first Office Action on the merits, but before

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receipt of a final Office Action or a Notice of Allowance. A check for \$180.00 in payment of the late submission fee of 37 C.F.R. § 1.17(p) is enclosed.

If there are any other charges or any credits, please apply them to Deposit Account No. 03-2095.

Respectfully submitted,

Date: 19 FEBRUARY 2004

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| SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF CO (MODIFIED) PATENT AND TRADEMAR | | | | Attorney Docket No. | | 50026/01 | 50026/012004 | |
| | | | | Serial No. | Serial No. | | 09/905,591 | |
| INFORMATION DISCLOSURE | | | | Applicant | | Keiya Ozawa et al. | | |
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| Examiner's Initials | Patent Number | Issue Date | Patent | Patentee | | Subclass | Filing Date (If Appropriate | |
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Attorney Docket No. SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE 50026/012004 (MODIFIED) PATENT AND TRADEMARK OFFICE Serial No. 09/905,591 Applicant Keiya Ozawa et al. INFORMATION DISCLOSURE Filing Date STATEMENT BY APPLICANT July 13, 2001 (Use several sheets if necessary) Group 1634 (37 C.F.R. § 1.98(b)) **IDS Filed** February 19, 2004 **U.S. PATENTS** Examiner's Patent Number Issue Date Patentee Class Subclass Filing Date Initials (If Appropriate) FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION Examiner's Document Publication Country or Subclass Translation Number Patent Office Initials Date (Yes/No) OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION) O'Farrell et al., "IL-10 Inhibits Macrophage Activation and Proliferation by Distinct Signaling Mechanisms: Evidence for Stat3-Dependent and -Independent Pathways," The EMBO Journal 17(4):1006-1018 (1998). Roussel et al., "Colony-Stimulating Factor 1-Mediated Regulation of a Chimeric c-fms/v-fms Receptor Containing the v-fms-Encoded Tyrosine Kinase Domain" Proc. Natl. Acad. Sci. USA 85:5903-5907 (1988). Verma and Somia, "Gene Therapy-Promises, Problems and Prospects," Nature 389:239-242 (1997). Wang et al., "Yeast Two-Hybrid System Demonstrates that Estrogen Receptor Dimerization Is Ligand-Dependent in Vivo," The Journal of Biological Chemistry, 270(40):23322-23329 (1995). Wimmel et al., "Autocrine Growth Inhibition by Transforming Growth Factor β-1 (TGFβ-1) in Human Neuroendocrine Tumour Cells," Gut 52:1308-1316 (2003). **EXAMINER** DATE CONSIDERED EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.